

Problem I [**Ginsparg-Wilson relation**]

Suppose one defines a (free) lattice Dirac fermion from the continuum theory through the following block-spin transformation:

$$\begin{aligned} e^{-\sum_{mn}\bar{\psi}_m h_{mn} \psi_n} &= \int D[\psi] D[\bar{\psi}] e^{-\int d^4x \bar{\psi}(x) \gamma_\mu \partial_\mu \psi(x)} \times \\ &\quad e^{-\sum_{m,n} (\bar{\psi}_m - \int_{V_m} d^4x \bar{\psi}(x)) \alpha_{mn} (\psi_n - \int_{V_n} d^4x \psi(x))} \end{aligned} \quad (1)$$

$V_n = a^4$ is a hypercubic volume element located at a lattice point $x = na$. α_{mn} commutes with γ_5 . Show that the lattice Dirac op. h_{mn} satisfies the Ginsparg-Wilson relation

$$\gamma_5 h + h \gamma_5 = 2h \gamma_5 \alpha^{-1} h. \quad (2)$$

If one applies chiral transformation to the lattice Dirac field as

$$\delta\psi_n = i\epsilon\gamma_5\psi_n, \delta\bar{\psi}_n = i\epsilon\bar{\psi}_n\gamma_5$$

the L.H.S. reads

$$\delta(\text{L.H.S.}) = -i\epsilon \sum_{mn} \bar{\psi}_m \{ \gamma_5 h_{mn} + h_{mn} \gamma_5 \} \psi_n e^{-\sum_{mn} \bar{\psi}_m h_{mn} \psi_n}$$

On the other hand, in the R.H.S. one may also apply chiral transformation to the continuum Dirac fied at the same time as

$$\delta\psi(x) = i\epsilon\gamma_5\psi(x), \delta\bar{\psi}(x) = i\epsilon\bar{\psi}(x)\gamma_5$$

Since the continuum action is invariant under the chiral transformation, the R.H.S. reads

$$\begin{aligned} \delta(\text{R.H.S.}) &= \int D[\psi] D[\bar{\psi}] e^{-\int d^4x \bar{\psi}(x) \gamma_\mu \partial_\mu \psi(x)} \times \\ &\quad e^{-\sum_{m,n} (\bar{\psi}_m - \int_{V_m} d^4x \bar{\psi}(x)) \alpha_{mn} (\psi_n - \int_{V_n} d^4x \psi(x))} \times \\ &\quad (-i\epsilon) \sum_{m,n} \left(\bar{\psi}_m - \int_{V_m} d^4x \bar{\psi}(x) \right) 2\gamma_5 \alpha_{mn} \left(\psi_n - \int_{V_n} d^4x \psi(x) \right) \end{aligned}$$

(Here we assumes that the fermion measure $D[\psi]D[\bar{\psi}]$ in the continuum theory is invariant under the chiral transformation.) The above result may be rewritten using the derivative w.r.t. to the lattice Dirac field (treating it as an external source) :

$$\begin{aligned} \delta(\text{R.H.S.}) &= \int D[\psi] D[\bar{\psi}] (-i\epsilon) \sum_{mn} \left(\frac{\delta}{\delta\psi} \alpha^{-1} \right)_m 2\gamma_5 \alpha_{mn} \left(\alpha^{-1} \frac{\delta}{\delta\bar{\psi}} \right)_n \\ &\quad e^{-\int d^4x \bar{\psi}(x) \gamma_\mu \partial_\mu \psi(x)} \times e^{-\sum_{m,n} (\bar{\psi}_m - \int_{V_m} d^4x \bar{\psi}(x)) \alpha_{mn} (\psi_n - \int_{V_n} d^4x \psi(x))} \\ &= (-i\epsilon) \sum_{mn} \left(\frac{\delta}{\delta\psi} \alpha^{-1} \right)_m 2\gamma_5 \alpha_{mn} \left(\alpha^{-1} \frac{\delta}{\delta\bar{\psi}} \right)_n e^{-\sum_{mn} \bar{\psi}_m h_{mn} \psi_n} \\ &= -i\epsilon \sum_{mn} \bar{\psi}_m \{ h \alpha^{-1} (2\gamma_5 \alpha) \alpha^{-1} h \}_{mn} \psi_n e^{-\sum_{mn} \bar{\psi}_m h_{mn} \psi_n} \end{aligned}$$

(Here we also assumes $\text{Tr}\gamma_5\alpha = 0$, $\text{Tr}\gamma_5\alpha^{-1}h = 0$) Then the Ginsparg-Wilson relation (2) follows.

Problem II [Free Overlap Fermion]

Set $U(x, \mu) = 1$, $a = 1$ and derive the Fourier transformation of the free overlap Dirac operator, $\tilde{D}(p)$,

$$D e^{ipx} = \tilde{D}(p) e^{ipx}$$

Show the following four properties of $\tilde{D}(p)$,

1. $\tilde{D}(p)$ is a periodic and analytic function of p_μ
2. $\tilde{D}(p) = Z i \gamma_\mu p_\mu + O(p^2)$ ($p \ll \pi$)
3. $\tilde{D}(p) \simeq 1$ ($|p_\mu| \simeq \pi$)
4. $\gamma_5 S(p) + S(p) \gamma_5 = 2\gamma_5$, $S(p) = \tilde{D}(p)^{-1}$

The forward- and backward-difference operators act on the plane wave as follows:

$$\begin{aligned} \partial_\mu e^{ipx} &= (e^{ip_\mu} - 1) e^{ipx}, \quad \partial_\mu^* e^{ipx} = (1 - e^{-ip_\mu}) e^{ipx}. \\ \bar{p}_\mu &= \sin p_\mu, \quad \hat{p}_\mu = 2 \sin \frac{p_\mu}{2} \end{aligned} \quad (3)$$

The F.T. of the Wilson-Dirac operator then reads

$$(D_w - m_0) e^{ipx} = \left(i \gamma_\mu \bar{p}_\mu + \frac{1}{2} \hat{p}^2 - m_0 \right) e^{ipx}.$$

Similary, the F.T. of the overlap Dirac operator is obtained as follows:

$$\begin{aligned} D e^{ipx} &= \frac{1}{2} \left(1 + (D_w - m_0) \frac{1}{\sqrt{(D_w - m_0)^\dagger (D_w - m_0)}} \right) e^{ipx} \\ &= \frac{1}{2} \left(1 + \frac{i \gamma_\mu \bar{p}_\mu + \frac{1}{2} \hat{p}^2 - m_0}{\sqrt{\bar{p}^2 + (\frac{1}{2} \hat{p}^2 - m_0)^2}} \right) e^{ipx} \\ \therefore \tilde{D}(p) &= \frac{1}{2} \left(1 + \frac{i \gamma_\mu \bar{p}_\mu + \frac{1}{2} \hat{p}^2 - m_0}{\sqrt{\bar{p}^2 + (\frac{1}{2} \hat{p}^2 - m_0)^2}} \right) \end{aligned}$$

1. Periodicity is obvious from Eqs. (3). Analyticity could be broken down if and only if the inside of the square root in the denominator vanishes identically. Namely,

$$\bar{p}^2 + \left(\frac{1}{2} \hat{p}^2 - m_0 \right)^2 = 0$$

or

$$\bar{p} = 0 \quad \text{and} \quad \frac{1}{2} \hat{p}^2 - m_0 = 0$$

But the first condition implies $\hat{p}^2 = 4n$ (n : integer) and therefore the second condition is never fulfilled for $0 < m_0 < 2$.

2.

$$\tilde{D}(p) \simeq \frac{1}{2m_0} i\gamma_\mu p_\mu \quad (|p_\mu| \ll 1)$$

3.

$$\tilde{D}(p) \simeq \frac{1}{2} \left(1 + \frac{2n - m_0}{\sqrt{(2n - m_0)^2}} \right) = 1$$

$|p_\mu| \simeq \pi$, or 0. $n(\neq 0)$ is the number of the components of p_μ which is equal to π .

4. If one set $\omega(p) = \sqrt{\bar{p}^2 + (\frac{1}{2}\hat{p}^2 - m_0)^2}$, then $\tilde{D}(p)$ reads

$$\tilde{D}(p) = \frac{i\gamma_\mu \bar{p}_\mu + \omega(p) + [\frac{1}{2}\hat{p}^2 - m_0]}{2\omega(p)}$$

Then the free fermion propagator is evaluated as follows:

$$S(p) = 2\omega(p) \frac{-i\gamma_\mu \bar{p}_\mu + \omega(p) + [\frac{1}{2}\hat{p}^2 - m_0]}{\bar{p}^2 + (\omega(p) + [\frac{1}{2}\hat{p}^2 - m_0])^2}$$

where

$$\begin{aligned} \bar{p}^2 + \left(\omega(p) + \left[\frac{1}{2}\hat{p}^2 - m_0 \right] \right)^2 &= \bar{p}^2 + \left[\frac{1}{2}\hat{p}^2 - m_0 \right]^2 + \omega(p)^2 + 2\omega(p) \left[\frac{1}{2}\hat{p}^2 - m_0 \right] \\ &= 2\omega(p) \left(\omega(p) + \left[\frac{1}{2}\hat{p}^2 - m_0 \right] \right) \end{aligned}$$

Therefore it follows that

$$S(p) = \frac{-i\gamma_\mu \bar{p}_\mu}{\omega(p) + [\frac{1}{2}\hat{p}^2 - m_0]} + 1, \quad \gamma_5 S(p) + S(p) \gamma_5 = 2\gamma_5$$

Problem III [Admissibility condition]

For $m_0 = 1$, $H_w^2 = (aD_w - 1)^\dagger(aD_w - 1)$ is evaluated as follows:

$$(aD_w - 1)^\dagger(aD_w - 1) = 1 + \frac{1}{4} \sum_{\mu \neq \nu} \{B_{\mu\nu} + C_{\mu\nu} + D_{\mu\nu}\}$$

$$\begin{aligned} B_{\mu\nu} &= a^4 \nabla_\mu^* \nabla_\mu \nabla_\nu^* \nabla_\nu = a^4 \nabla_\mu^* \nabla_\nu^* \nabla_\nu \nabla_\mu - a^3 \nabla_\mu^* [\nabla_\mu, \nabla_\nu^* - \nabla_\nu] \\ C_{\mu\nu} &= \frac{1}{2} i \sigma_{\mu\nu} a^2 [\nabla_\mu^* + \nabla_\mu, \nabla_\nu^* + \nabla_\nu] \\ D_{\mu\nu} &= -\gamma_\mu a^2 [\nabla_\mu^* + \nabla_\mu, \nabla_\nu^* - \nabla_\nu] \end{aligned}$$

Show

$$a^2 H_w^2 \geq 1 - 30\epsilon$$

$$\begin{aligned} a \nabla_\mu \phi(x) &= U(x, \mu) \phi(x + \hat{\mu}) - \phi(x), \\ a^2 \nabla_\nu \nabla_\mu \phi(x) &= U(x, \nu) (U(x + \hat{\nu}, \mu) \phi(x + \hat{\mu} + \hat{\nu}) - \phi(x + \hat{\nu})) - (U(x, \mu) \phi(x + \hat{\mu}) - \phi(x)), \\ a^2 [\nabla_\mu, \nabla_\nu] \phi(x) &= \{U(x, \mu) U(x + \hat{\mu}, \nu) - U(x, \nu) U(x + \hat{\nu}, \mu)\} \phi(x + \hat{\mu} + \hat{\nu}) \\ &= -\{1 - U(x, \mu) U(x + \hat{\mu}, \nu) U(x + \hat{\nu}, \mu)^{-1} U(x, \nu)^{-1}\} \times \\ &\quad U(x, \nu) U(x + \hat{\nu}, \mu) \phi(x + \hat{\mu} + \hat{\nu}) \end{aligned}$$

Therefore

$$\|1 - U(x, \mu) U(x + \hat{\mu}, \nu) U(x + \hat{\nu}, \mu)^{-1} U(x, \nu)^{-1}\| \leq \epsilon \Rightarrow \|a^2 [\nabla_\mu, \nabla_\nu]\| \leq \epsilon$$

$$\begin{aligned} a^2 H_w^2 &\geq 1 - \frac{1}{4} \sum_{\mu \neq \nu} \| -a^3 \nabla_\mu^* [\nabla_\mu, \nabla_\nu^* - \nabla_\nu] \| \\ &\quad - \frac{1}{4} \sum_{\mu \neq \nu} \| \frac{1}{2} i \sigma_{\mu\nu} a^2 [\nabla_\mu^* + \nabla_\mu, \nabla_\nu^* + \nabla_\nu] \| - \frac{1}{4} \sum_{\mu \neq \nu} \| -\gamma_\mu a^2 [\nabla_\mu^* + \nabla_\mu, \nabla_\nu^* - \nabla_\nu] \| \\ &= 1 - \frac{1}{4} \cdot 12 \cdot 2 \cdot 2\epsilon - \frac{1}{4} \cdot \frac{1}{2} \cdot 12 \cdot 4\epsilon - \frac{1}{4} \cdot 12 \cdot 4\epsilon = 1 - 30\epsilon \end{aligned}$$

cf. (in the continuum limit)

$$\begin{aligned} (a\gamma_\mu D_\mu - 1)^\dagger (a\gamma_\mu D_\mu - 1) &= 1 - a^4 (D_\mu)^2 - a^4 \frac{[\gamma_\mu, \gamma_\nu]}{4} [D_\mu, D_\nu] \\ &= 1 - a^4 (D_\mu)^2 - ia^4 \frac{[\gamma_\mu, \gamma_\nu]}{4} F_{\mu\nu} \end{aligned}$$

Problem IV [Locality of overlap Dirac operator]

When $a^2 H_w^2 = (aD_w - 1)^\dagger (aD_w - 1)$ satisfies the bound $0 < \alpha < \|a^2 H_w^2\| < \beta$, the inverse square root of $a^2 H_w^2$ can be expanded through the Legendre polynomials as follows:

$$\frac{1}{\sqrt{a^2 H_w^2}} = \frac{\kappa}{\sqrt{1 - 2tz + t^2}} = \kappa \sum_{k=0}^{\infty} t^k P_k(z), \quad z = \frac{\beta + \alpha - 2H_w^2}{\beta - \alpha},$$

where

$$\cosh \theta = \frac{\beta - \alpha}{\beta + \alpha}, \quad t = e^{-\theta}, \quad \kappa = \sqrt{\frac{4t}{\beta - \alpha}}.$$

Prove the bound

$$\left\| \frac{1}{\sqrt{a^2 H_w^2}}(x, y) \right\| < \frac{\kappa}{1-t} \exp\{-\theta|x-y|/2a\}.$$

($|x-y|$ is the taxi driver distance between the lattice sites x and y .)

Since Wilson-Dirac operator D_w involves only nearest-neighbor couplings, $a^2 H_w^2$ and z involve at most next-to-nearest-neighbor couplings. Then for a fixed $|x-y|$, the Legendre polynomial expansion of the inverse square root of $a^2 H_w^2$ and z starts from the order k such that $2k \geq |x-y|/a$:

$$\frac{1}{\sqrt{a^2 H_w^2}}(x, y) = \kappa \sum_{k \geq |x-y|/2a} t^k P_k(z).$$

Since the Legendre polynomials statify the bound $\|P_k(z)\| \leq 1$, one has

$$\left\| \frac{1}{\sqrt{a^2 H_w^2}}(x, y) \right\| < \kappa \sum_{k \geq |x-y|/2a} t^k \|P_k(z)\| = \sum_{k=0}^{\infty} t^k \exp\{-\theta|x-y|/2a\} = \frac{\kappa}{1-t} \exp\{-\theta|x-y|/2a\}.$$

Problem V [Index theorem on the lattice]

Overlap Dirac operator is normal and satisfies the γ_5 -conjugate relation:

$$D + D^\dagger = 2aD^\dagger D = 2aDD^\dagger \text{ (normal), } D^\dagger = \gamma_5 D \gamma_5 \text{ (γ_5 -conjugate)}$$

1. Show that the eigenvalues of D ,

$$D\psi_\lambda = \lambda\psi_\lambda,$$

distribute on the circle with the radius $1/2a$ and centered at $(1/2a, 0)$ in the two-dimensional complex plane.

2. Show that the eigenvalues of D are classified into three groups as follows:

$$\begin{aligned} \lambda = 0 : \quad & \gamma_5\psi_\lambda(x) = \pm\psi_\lambda(x) \quad n_\pm \\ \lambda = 1/a : \quad & \gamma_5\psi_\lambda(x) = \pm\psi_\lambda(x) \quad N_\pm \\ \lambda \neq 0, 1/a : \quad & \text{pair-wise } \begin{cases} \lambda \rightarrow \psi_\lambda \\ \lambda^* \rightarrow \gamma_5\psi_\lambda \end{cases} \end{aligned}$$

3. Prove the index theorem on the lattice

$$\text{Tr}\{\gamma_5(1 - aD)\} = n_+ - n_-$$

1. For an eigenvalue λ and an eigenvector $\psi_\lambda(x)$ belonging to it,

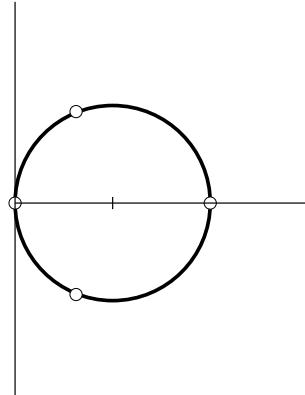
$$D\psi_\lambda(x) = \lambda\psi_\lambda(x),$$

one has

$$a^4 \sum_x \psi_\lambda^\dagger(x) \{D + D^\dagger - 2aD^\dagger D\} \psi_\lambda(x) = (\lambda + \lambda^* - 2a\lambda^*\lambda) (\psi_\lambda, \psi_\lambda) = 0$$

Then one can show

$$\lambda + \lambda^* - 2a\lambda^*\lambda = (-2a) [(\lambda - 1/2a)(\lambda - 1/2a)^* - (1/2a)^2] = 0$$



2. (a) $\lambda = 0$

Suppose ψ_λ belongs to the zero eigenvalue, $D\psi_\lambda = 0$. Then

$$D(\gamma_5\psi_\lambda) = \{-\gamma_5D + 2aD\gamma_5D\}\psi_\lambda = 0.$$

This implies that ψ_λ can be made to be chiral

$$D\left(\frac{1+\gamma_5}{2}\right)\psi_\lambda = 0 \quad \text{or} \quad D\left(\frac{1-\gamma_5}{2}\right)\psi_\lambda = 0$$

(b) $\lambda = 1/a$

Suppose ψ_λ belongs to the eigenvalue $1/a$, $D\psi_\lambda = (1/a)\psi_\lambda$. Then

$$(D - 1/a)(\gamma_5\psi_\lambda) = \{-\gamma_5(D - 1/a) + 2a(D - 1/a)\gamma_5(D - 1/a)\}\psi_\lambda = 0.$$

This implies that ψ_λ can be made to be chiral

$$(D - 1/a)\left(\frac{1+\gamma_5}{2}\right)\psi_\lambda = 0 \quad \text{or} \quad (D - 1/a)\left(\frac{1-\gamma_5}{2}\right)\psi_\lambda = 0$$

(c) $\lambda \neq 0, 1/a$

Suppose ψ_λ belongs to the eigenvalue $\lambda \neq 0, 1/a$, $D\psi_\lambda = \lambda\psi_\lambda$. Since D is normal, $\psi_\lambda^\dagger D = \lambda\psi_\lambda^\dagger$. Then

$$D(\gamma_5\psi_\lambda) = \gamma_5D^\dagger\psi_\lambda = \gamma_5\left(\lambda\psi_\lambda^\dagger\right)^\dagger = \lambda^*(\gamma_5\psi_\lambda)$$

3.

$$\begin{aligned} \sum_{\lambda} \psi_\lambda^\dagger \gamma_5 (1 - aD)\psi_\lambda &= \sum_{\lambda=0,1/a} \psi_\lambda^\dagger \gamma_5 \psi_\lambda - a \sum_{\lambda=1/a} \lambda \psi_\lambda^\dagger \gamma_5 \psi_\lambda \\ &= (n_+ - n_-) + (N_+ - N_-) - (N_+ - N_-) \\ &= n_+ - n_- \end{aligned}$$

Problem VI [Numerical check of topological charge on the lattice]

Consider U(1) gauge fields on the two-dimensional lattice with the finite volume $V = La \times La$ (L : integer). Topological charge of the gauge fields may be defined through

$$Q_g = \frac{1}{2\pi} \sum_x F_{\mu\nu}(x)$$

where

$$F_{\mu\nu}(x) = \frac{1}{i} \ln \left\{ U(x, \mu) U(x + \hat{\mu}, \nu) U(x + \hat{\nu}, \mu)^{-1} U(x, \nu)^{-1} \right\}$$

1. For the $U(1)$ instanton configuration given by

$$V_{[m]}(x, 1) = \exp \left\{ -\frac{2\pi i}{L} mx_2 \delta_{x_1, L-1} \right\}, \quad V_{[m]}(x, 2) = \exp \left\{ +\frac{2\pi i}{L^2} mx_1 \right\} \quad (m : \text{integer}),$$

compute Q_g and show $Q_g = m$.

2. For $V_{[m]}(x, \mu)$ with $L = 8$, compute all eigenvalues of H_w numerically and evaluate $Q = -\frac{1}{2} \text{Tr} \left(\frac{H_w}{\sqrt{H_w}} \right)$.
-

1.

2. A sample fortran program to compute the eigenvalues and eigenvectors of H_w is available from

<http://www.eken.phys.nagoya-u.ac.jp/~kikukawa/LFT/>

Problem VII [Propagator of Ginsparg-Wilson Weyl fermion]

Derive the Weyl fermion propagator

$$\langle \psi_L(x) \bar{\psi}_L(y) \rangle_F = \hat{P}_L D^{-1} P_R$$

By performing the grassman number integration over c_i, \bar{c}_k , one obtains

$$\langle \psi_L(x) \bar{\psi}_L(y) \rangle_F = \sum_{i,k} v_i(x) (M^{-1})_{ik} \bar{v}_k(y), \quad M_{ki} = (\bar{v}_k D v_i).$$

Noting

$$\hat{P}_L(x, y) = \sum_i v_i(x) v_i(y)^\dagger, \quad P_R(x, y) = \sum_k \bar{v}_k(x)^\dagger \bar{v}_k(y),$$

one can show

$$\begin{aligned} & \sum_i (\bar{v}_k D v_i)(v_i^\dagger D^{-1} \bar{v}_l^\dagger) \\ &= \bar{v}_k D \hat{P}_L D^{-1} \bar{v}_l^\dagger = \bar{v}_k P_R D D^{-1} \bar{v}_l^\dagger = \delta_{kl}, \end{aligned}$$

which implies

$$(M^{-1})_{ik} = \sum_x v_i(x)^\dagger D^{-1} \bar{v}_k(x)^\dagger.$$

Then one obtains

$$\begin{aligned} \langle \psi_L(x) \bar{\psi}_L(y) \rangle_F &= \sum_{i,k} v_i(x) (M^{-1})_{ik} \bar{v}_k(y) \\ &= \sum_{i,k} v_i(x) v_i(x)^\dagger D^{-1} \bar{v}_k(x)^\dagger \bar{v}_k(y) \\ &= \hat{P}_L D^{-1} P_R. \end{aligned}$$

Problem VIII [Nambu-Goldstone theorem]

Consider lattice QCD with massive Ginsparg-Wilson fermions,

$$S = S_G + a^4 \sum_x \bar{\psi}(x)(D + m)\psi(x).$$

Then, the zero-momentum limit of the Fourier transform of the two-point function of the psuedo scalar operator (pion field) is given as follows:

$$G_{ab} = \frac{1}{\Omega} \sum_{x,y} \langle \bar{\psi} \tau^a \gamma_5 \psi(x) \bar{\psi} \tau^a \gamma_5 \psi(y) \rangle = \delta_{ab} \frac{1}{\Omega a^8} \left\langle \text{Tr} \left(\frac{1}{D+m} \gamma_5 \frac{1}{D+m} \gamma_5 \right) \right\rangle$$

(Ωa^4 is the space-time volume)

Show followings by using the Ginsparg-Wilson relation.

1.

$$(1 + 2am)[(D + m)\gamma_5 + \gamma_5(D + m)] = m(2 + 2am)\gamma_5 + 2a(D + m)\gamma_5(D + m)$$

2.

$$\text{Tr} \left(\frac{1}{D+m} \gamma_5 \frac{1}{D+m} \gamma_5 \right) = \frac{(1 + 2am)}{m(1 + am)} \text{Tr} \left(\frac{1}{D+m} - a \frac{1}{(1 + 2am)} \right)$$

3.

$$\lim_{m \rightarrow 0} G_{ab} = \delta_{ab} \frac{1}{ma^4} \langle \bar{\psi}(1 - aD)\psi \rangle$$

Problem IX [Domain-wall fermion]

Domain-wall fermion is defined with the five-dimensional Wilson-Dirac fermion with the Dirichlet b.c. in the fifth direction :

$$S_{\text{DWF}} = a_5 \sum_{t=1}^N \sum_x \bar{\Psi}(x, t)(D_{5w} - m_0)\Psi(x, t) \Big|_{\text{Dir.}} \quad (a = 1, 0 < m_0 < 1)$$

Show that the partition function of the domain wall fermion is factorized into two parts as follows:

$$\det(D_{5w} - m_0)_{[Dir]} = \det D_N \cdot \det(D_{5w} - m_0)_{[AP]}$$

where D_N is a four-dimensional Dirac operator given by

$$D_N = \frac{1}{2a} \left(1 + \gamma_5 \tanh \left(\frac{a_5 N H}{2} \right) \right)$$

and

$$\begin{aligned} H &= -\frac{1}{a_5} \ln T \\ T &= \begin{pmatrix} \frac{1}{B} & \frac{1}{B} a_5 C \\ -a_5 C^\dagger \frac{1}{B} & B + a_5^2 C^\dagger \frac{1}{B} C \end{pmatrix} \\ B &= 1 + a_5(B_4 - m_0), \quad D_w = \begin{pmatrix} B_4 & -C \\ C^\dagger & -B_4 \end{pmatrix} \end{aligned}$$

See next page

Evaluation of $\det(D_{5w} - \frac{m_0}{a})$ ($a_5 = a = 1$)

$$\begin{aligned}
& D_{5w} - m_0 \\
= & (D_w - m_0 + 1) \delta_{ts} - P_L \delta_{t+1,s} - P_R \delta_{t,s+1} \\
= & \left(\begin{pmatrix} B & C \\ -C^\dagger & B \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \dots \begin{pmatrix} +1 & 0 \\ 0 & 0 \end{pmatrix} \right. \\
& \left. \begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} B & C \\ -C^\dagger & B \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \right. \\
& \left. \begin{pmatrix} 0 & 0 \\ 0 & +1 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} B & C \\ -C^\dagger & B \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ 0 & +1 \end{pmatrix} \right) \\
\Rightarrow & \left(\begin{pmatrix} C & B \\ B & -C^\dagger \end{pmatrix} \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & +1 \\ 0 & 0 \end{pmatrix} \right. \\
& \left. \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} C & B \\ B & -C^\dagger \end{pmatrix} \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \right. \\
& \left. \begin{pmatrix} 0 & 0 \\ +1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} C & B \\ B & -C^\dagger \end{pmatrix} \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} \dots \begin{pmatrix} 0 & 0 \\ C & B \end{pmatrix} \right) \\
\Rightarrow & \left(\begin{pmatrix} B & 0 \\ -C^\dagger & -1 \end{pmatrix} \dots \begin{pmatrix} B & 0 \\ -C^\dagger & -1 \end{pmatrix} \dots \begin{pmatrix} +1 & C \\ 0 & B \end{pmatrix} \right. \\
& \left. \begin{pmatrix} -1 & C \\ 0 & B \end{pmatrix} \dots \begin{pmatrix} -1 & C \\ 0 & B \end{pmatrix} \dots \begin{pmatrix} B & 0 \\ -C^\dagger & -1 \end{pmatrix} \dots \begin{pmatrix} B & 0 \\ -C^\dagger & -1 \end{pmatrix} \dots \begin{pmatrix} B & 0 \\ -1 & C \\ 0 & B \end{pmatrix} \dots \begin{pmatrix} B & 0 \\ -C^\dagger & +1 \end{pmatrix} \right)
\end{aligned}$$

Set

$$\alpha \equiv \begin{pmatrix} B & 0 \\ -C^\dagger & -1 \end{pmatrix} \quad \beta \equiv \begin{bmatrix} -1 & C \\ 0 & B \end{bmatrix}$$

$$\alpha_X \equiv \begin{pmatrix} B & 0 \\ -C^\dagger & X \end{pmatrix} \quad \beta_Y \equiv \begin{bmatrix} Y & C \\ 0 & B \end{bmatrix}$$

$X, Y = 0$ for Dirichlet B.C., $X, Y = +1$ for AP B.C.

Then

$$D_{5w} - m_0 \Rightarrow \begin{pmatrix} \alpha & \cdot & \cdot & \cdot & \beta_Y \\ \beta & \alpha & \cdot & \cdot & \cdot \\ \cdot & \beta & \alpha & \cdot & \cdot \\ \cdot & \cdot & \beta & \alpha & \cdot \\ \cdot & \cdot & \cdot & \beta & \alpha_X \end{pmatrix} = \begin{pmatrix} \alpha & \cdot & \cdot & \cdot & \cdot \\ \beta & \alpha & \cdot & \cdot & \cdot \\ \cdot & \beta & \alpha & \cdot & \cdot \\ \cdot & \cdot & \beta & \alpha & \cdot \\ \cdot & \cdot & \cdot & \beta & \alpha_X \end{pmatrix} \times \begin{pmatrix} 1 & \cdot & \cdot & \cdot & -V_1 \\ \cdot & 1 & \cdot & \cdot & -V_2 \\ \cdot & \cdot & 1 & \cdot & -V_3 \\ \cdot & \cdot & \cdot & 1 & -V_4 \\ \cdot & \cdot & \cdot & \cdot & 1 - V_5 \end{pmatrix}$$

where

$$\begin{aligned} -\alpha V_1 &= \beta_Y \\ -\beta V_1 - \alpha V_2 &= 0 \\ -\beta V_2 - \alpha V_3 &= 0 \\ -\beta V_3 - \alpha V_4 &= 0 \\ -\beta V_4 + \alpha_X(1 - V_5) &= \alpha_X \end{aligned}$$

Now one can evaluate the determinant:

$$\det(D_{5w} - m_0)_{X,Y} = \{\det \alpha\}^{N-1} \det \alpha_X \det(1 - V_N)$$

where

$$V_N = \alpha_X^{-1} \alpha \cdot \{-\alpha^{-1} \beta\}^N \cdot \beta^{-1} \beta_Y$$

$$\begin{aligned} -\alpha^{-1} \beta &= \begin{pmatrix} \frac{1}{B} & -\frac{1}{B} C \\ -C^\dagger \frac{1}{B} & B + C^\dagger \frac{1}{B} C \end{pmatrix} = T = e^{-H} \\ \alpha^{-1} \alpha_Y &= \begin{pmatrix} 1 & 0 \\ 0 & -X \end{pmatrix} \\ \beta^{-1} \beta_Y &= \begin{pmatrix} -Y & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

For Dirichlet b.c. and Anti-Periodic b.c., respectively, one obtains

$$\det(D_{5w} - m_0) = \{\det(P_L + P_R B)\}^N \cdot \det \gamma_5 \cdot \det(P_R + P_L T^N)$$

$$\det(D_{5w} - m_0)_{AP} = \{\det(P_L + P_R B)\}^N \cdot \det \gamma_5 \cdot \det(1 + T^N)$$

$$\frac{P_R + P_L T^N}{1 + T^N} = \frac{1}{2} \left(1 + \gamma_5 \frac{1 - T^N}{1 + T^N} \right) = \frac{1}{2} (1 + \gamma_5 \tanh(NH/2)) = D_N$$

References

- [1] P. H. Ginsparg and K. G. Wilson, Phys. Rev. D **25**, 2649 (1982).
(I)
- [2] H. Neuberger, Phys. Lett. B **417**, 141 (1998) [arXiv:hep-lat/9707022].
- [3] H. Neuberger, Phys. Lett. B **427**, 353 (1998) [arXiv:hep-lat/9801031].
- [4] M. Lüscher, Phys. Lett. B **428**, 342 (1998) [arXiv:hep-lat/9802011].
(II)
- [5] P. Hernandez, K. Jansen and M. Lüscher, Nucl. Phys. B **552**, 363 (1999) [arXiv:hep-lat/9808010].
(III,IV)
- [6] P. Hasenfratz, V. Laliena and F. Niedermayer, Phys. Lett. B **427**, 125 (1998) [arXiv:hep-lat/9801021].
(V)
- [7] R. Narayanan and H. Neuberger, Nucl. Phys. B **412**, 574 (1994) [arXiv:hep-lat/9307006].
- [8] R. Narayanan and H. Neuberger, Phys. Rev. Lett. **71**, 3251 (1993) [arXiv:hep-lat/9308011].
(VI)
- [9] M. Lüscher, Nucl. Phys. B **549**, 295 (1999) [arXiv:hep-lat/9811032].
- [10] M. Lüscher, Nucl. Phys. B **568**, 162 (2000) [arXiv:hep-lat/9904009].
(VII)
- [11] S. Chandrasekharan, Phys. Rev. D **59**, 094502 (1999) [arXiv:hep-lat/9810007].
(VIII)
- [12] D. B. Kaplan, Phys. Lett. B **288**, 342 (1992) [arXiv:hep-lat/9206013].
- [13] Y. Shamir, Nucl. Phys. B **406**, 90 (1993) [arXiv:hep-lat/9303005].
- [14] V. Furman and Y. Shamir, Nucl. Phys. B **439**, 54 (1995) [arXiv:hep-lat/9405004].
- [15] H. Neuberger, Phys. Rev. D **57**, 5417 (1998) [arXiv:hep-lat/9710089].
- [16] Y. Kikukawa and T. Noguchi, arXiv:hep-lat/9902022.
(IX)